IAP12 Rec'd PCT/PTO 28 APR 2006

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q78302

Masayuki SANBAYASHI, et al.

Appln. No.: Based on PCT/JP2004/016481

Confirmation No.: Unknown

Group Art Unit: Unknown

Filed: April 28, 2006

Examiner: Unknown

For:

TRANSPARENT FILM-FORMING COMPOSITION

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a Communication from a foreign patent office in a counterpart application citing such documents, together with an English-language version (if not already included) of at least that portion of the Communication indicating the degree of relevance found by the foreign patent office.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant submits the following explanations: English language abstracts, submitted herewith, constitute concise statements of relevance for JP-A-2-69375, JP-A-62-36045, JP-B2-3027739 (corresponds to Japanese Patent Application Publication No. 10-225640), JP-A-9-40872, JP-A-7-216552, and WO 99/28393. The aforementioned documents are also cited on pages 1 to 3 in the specification of the above-identified application. JP-B2-2756474, which is cited on page 2 in the specification of the present application, corresponds to EP 0816466.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

10/577856 IAP12 Rec'd PCT/PTO 28 APR 2006

INFORMATION DISCLOSURE STATEMENT U.S. Appln. No.: Based on PCT/JP2004/016481

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

Respectfully submitted,

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washington office 23373 customer number

Date: April 28, 2006

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MODIFIED PTO/SB/08 A & B (08-03)

Substitute for Form 1449 A & B/PTO

Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of

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Application Number	Based on PCT/JP2004/016481				
Confirmation Number	Unknown				
Filing Date	April 28, 2006				
First Named Inventor	Masayuki SANBAYASHI				
Art Unit	Unknown				
Examiner Name	Unknown				
Attorney Docket Number	Q78302				

U.S. PATENT DOCUMENTS					
F	C:4-	Document Number		Publication Date	
Examiner Initials*	Cite No. ¹	Number	Kind Code [*]	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
i		US 2003/181329	Al	09-25-2003	Jun Tanaka, et al.
		US			

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document			Publication Date	Name of Patentee or	6
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation ⁶
		JР	2-69375	A	03-08-1990	Aoki Corp Koransha Co Ltd	Abstract
		JP	62-36045	A	02-17-1987	Hoya Corp	Abstract
		JР	2756474	B2	03-13-1998	Toto Ltd.	
		EP	0816466	- A1	01-07-1998	Toto Ltd.	
		JP	3027739	B2	01-28-2000	Ishihara Sangyo Kaisha Ltd Fujishima Akira Hashimoto Kazuhito	Abstract
		JP	9-40872	Α	02-10-1997	Nippon Kayaku Co Ltd	Abstract
		JP	7-216552	A	08-15-1995	Chichibu Onoda Cement Corp Ogiwara Takashi	Abstract
		wo	99/28393	A1	06-10-1999	Showa Denko kabushiki Kaisha	Abstract
t		EP	1153999	A1	11-14-2001	Showa Denko kabushiki Kaisha	
		WO	2004/014986	A1	02-19-2004	Showa Denko kabushiki Kaisha	
				-			

		NON PATENT LITERATURE DOCUMENTS	
Examiner Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the Initials* No. journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or continuous page (s), volume-issue number(s), city, and/or continuous page (s), city		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶
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			1

Examiner Signature	Date Cons	idered

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.